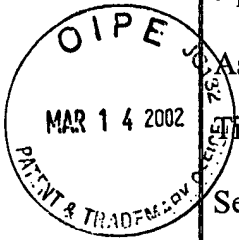


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): Michael F. Braitberg, Ian R. Redmond, Gregory D. Volan, Steven B. Volk
Assignee: SpinVision, Inc. n/
Title: First-Surface Optical Data Storage Disk Containing Phase-Change Recording Layer And Housed In Cartridge (as amended)
Serial No.: 09/753,109 Filing Date: December 29, 2000
Examiner: David D. Davis Group Art Unit: 2652
Docket No.: M-8534-2D US

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c) WITH
CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicant(s) wish to call the following document (copy enclosed) to the attention of the Examiner for the above-identified patent application. The document was first cited by International Preliminary Examining Authority the corresponding foreign Application No. PCT/US00/13736, in the International Preliminary Examination Report.

A form PTO-1449 listing this documentsis enclosed.

Citation of the above document shall not be construed as:

1. an admission that the document is necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above ; or

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3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies in accordance with § 1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

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Respectfully submitted,



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